

PCT

WORLD INTELLECTUAL PROPERTY ORGANIZATION
International Bureau



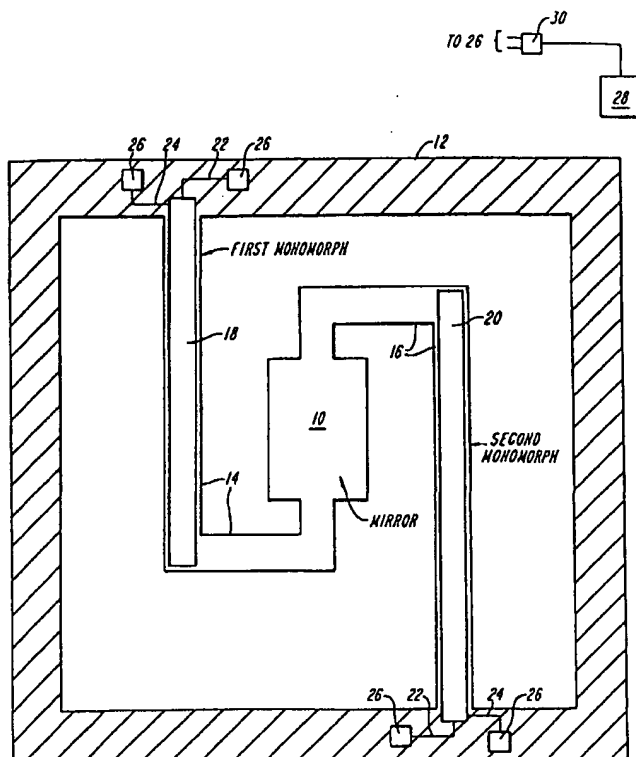
INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification ⁷ : G02B 7/182	A1	(11) International Publication Number: WO 00/55666 (43) International Publication Date: 21 September 2000 (21.09.00)
(21) International Application Number: PCT/US00/07075 (22) International Filing Date: 17 March 2000 (17.03.00) (30) Priority Data: 60/124,982 18 March 1999 (18.03.99) US (71) Applicant (for all designated States except US): TRUSTEES OF BOSTON UNIVERSITY [US/US]; 147 Bay State Road, Boston, MA 02215 (US). (72) Inventor; and (75) Inventor/Applicant (for US only): SMITS, Johannes, G. [US/US]; 22 Whitelawn Avenue, Milton, MA 02186 (US). (74) Agents: GAGNEBIN, Charles, L., III et al.; Weingarten, Schurgin, Gagnebin & Hayes LLP, Ten Post Office Square, Boston, MA 02109 (US).		(81) Designated States: AU, CA, JP, KR, US, European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE). Published With international search report.

(54) Title: VERY LARGE ANGLE INTEGRATED OPTICAL SCANNER MADE WITH AN ARRAY OF PIEZOELECTRIC MONOMORPHS

(57) Abstract

A micromachined mirror scanning system having plural benders of morphs and support arms (14, 16) between a mirror surface (10) of silicon and a surrounding frame (12). Electrical energization of the morphs with a DC voltage under the control of a computer provides a large range of mirror orientations and positions and the devices occupy a small planar package. The devices of the invention are formed from a single wafer of silicon and have low response times and large scan angles.



FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece	ML	Mali	TR	Turkey
BG	Bulgaria	HU	Hungary	MN	Mongolia	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MR	Mauritania	UA	Ukraine
BR	Brazil	IL	Israel	MW	Malawi	UG	Uganda
BY	Belarus	IS	Iceland	MX	Mexico	US	United States of America
CA	Canada	IT	Italy	NE	Niger	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NL	Netherlands	VN	Viet Nam
CG	Congo	KE	Kenya	NO	Norway	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NZ	New Zealand	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	PL	Poland		
CM	Cameroon	KR	Republic of Korea	PT	Portugal		
CN	China	KZ	Kazakhstan	RO	Romania		
CU	Cuba	LC	Saint Lucia	RU	Russian Federation		
CZ	Czech Republic	LI	Liechtenstein	SD	Sudan		
DE	Germany	LK	Sri Lanka	SE	Sweden		
DK	Denmark	LR	Liberia	SG	Singapore		
EE	Estonia						

- 1 -

TITLE OF THE INVENTION

5 VERY LARGE ANGLE INTEGRATED OPTICAL SCANNER MADE WITH AN
 ARRAY OF PIEZOELECTRIC MONOMORPHS

CROSS REFERENCE TO RELATED APPLICATIONS

10 This application claims priority under 35 U.S.C.
§119(e) to Provisional Application No. 60/124,982, filed
March 18, 1999; the disclosure of which is incorporated
herein by reference.

ACKNOWLEDGEMENT OF GOVERNMENT SUPPORT

15 This invention was made with government support
under Contract Number N00014-96-2-0008 awarded by the
Office of Naval Research. The Government has certain
rights in the invention.

20 BACKGROUND OF THE INVENTION

25 Mirrors for the redirection of light find
applications in a great many functions including card
readers, displays among others. The miniaturization of
many functions and their increasing complexity places
space and frequency response demands on such mirror
systems. The uses for such mirrors demands that they be
capable of two axis motion with pointing angles under
30 computer control. Furthermore high speed operation is
increasingly in demand.

- 2 -

Systems of today typically use bulky mechanical designs filling a significant volume or are only capable of mirror motion about one axis.

5

BRIEF SUMMARY OF THE INVENTION

10

The present invention takes advantage of the miniaturization and cost advantages of micromachining to produce scanning mirror systems in planar silicon arrays on wafers with large scan angles, high frequency responses and thus fast scanning rates. The advantages of high efficiency production are also available through this fabrication technique.

15

20

25

30

The invention supports a mirrored silicon surface from one or more support arms attached to a frame of silicon, all of the same wafer. The support has regions with depositions that provide bender or piezoelectric morph functions when energized with a voltage. Intermediate platforms or junction points allow the supports to be a combination of several arms, some having morph functions and others not. This provides an amplification or leverage function to the bending action of each morph, achieving very large scan angles per applied volt. The small size, relative rigidity of silicon allow high resonant frequencies and thus fast response times. The flexibility of micromachining allows multi axis mirror motion and computer control. Using combinations of arm segments of morph and neutral functions a wide range of functions can be achieved in a final product.

- 3 -

Large scale wafer fabrication techniques allow many scanning systems to be made on a single wafer for further efficiencies in the production of the scanners. The use of a DC voltage for the scanner reduces vibration effects.

DESCRIPTION OF THE DRAWING

These and other features of the invention will be made clear in the following description and accompanying drawing of which:

Fig. 1 is a diagram of a two morph mirror scanning system of the inventions;

Fig. 2 illustrates the operation of the device of Fig. 1;

Fig. 3 is a diagram of a four morph mirror scanning system of the invention;

Figs. 4 - 11 illustrate a fabrication process for the scan mirrors of the present invention; and

Fig. 12 illustrates a three morph mirror system.

DESCRIPTION OF THE PREFERRED EMBODIMENT

The present invention utilizes micromechanically formed scanning mirror systems as illustrated in Fig. 1. Shown there is a mirrored silicon platform or area 10 supported and etch released from a silicon frame 12 by respective silicon support arms 14 and 16. Overlying the arms 14 and 16 are respective morphs 18 and 20 which may be monomorphs or bimorphs (the term morph being used to represent either or other equivalent structures

- 4 -

herein). The morphs comprise piezoelectric depositions formed during the micromachining of the device as described below. As is known in the art the morphs 14 and 16 for use as benders, have upper and lower electrical connections 22 and 24 to terminals 26, all formed as metalizations on the frame 12. The frame 12 is shown schematically and typically would be of greater extent in both directions of the plane of the page.

In Fig. 2 there is shown a diagrammatic illustration of the principle of operation of a scanning mirror according to the invention in which there is a mirror 10' supported on arms 14' and 16' within a frame 12'. As the morphs or bimorphs of the arms 14' and 16' are electrically actuated to bend in opposite directions, the mirror 10' will be tilted a considerable distance. By varying and controlling the signals applied to the morphs, the degree of bending and the angle of inclination of the mirror 10' can be precisely set or scanned with knowledge of the exact position of the mirror. For this purpose the system of the invention is normally operated with a micro or other processor 28 which controls the magnitude of the signals applied to terminals 26, with or without interfacing drivers.

Fig 3 illustrates the invention in a four morph arrangement in which a central mirror 40 is first connected to first and second platforms 42 and 44 by "J" shaped support arms 46 and 48 that connect mirror 40 top and bottom edges to platform 42 and 44 left and right hand sides. The top and bottom edges of platforms 42

- 5 -

and 44 are respectively connected by support arms 50, 52, 54 and 56 to a frame 54. The support arms 50, 52, 54, and 56 are made into morphs by depositions of layers forming piezoelectric benders. By actuating the morphs of arms 50 and 52 in one polarity and those of arms 54 and 56 in an opposite polarity, the mirror can rotate about an axis passing left to right while actuation of benders of arms 50 and 54 in one polarity and those of 52 and 56 in an opposite polarity, the mirror can be tilted about an axis running top to bottom in the page.

Figs. 4 - 11 illustrate steps in the preparation of a silicon wafer to provide the final scanning structure. These illustrations are generic in nature and show the processes used, but are exemplary only and not to be taken as limiting in the actual structure prepared. From a silicon on glass structure wafer 70 available in the industry insulating layers 72 are formed over the two silicon regions 74. These insulating layers 72 are apertured as shown in Fig. 5 for the creation of alignment marks 76 and the silicon around them is then etched back in Fig. 6. Finally a new insulating layer 78 is formed. In Fig. 8, the silicon of the top device layer is etched back to the internal insulator layer 80 in regions 82 to isolate the portions of the structure that are to be free to move in the final device. A back side etch leaves a deep 350 micron void. Those portions of the top surface that are to function as a reflector 86 or morphs 88 are plated in Fig. 10, the morphs being a platinum electrode - PZT bender layer sandwich. In Fig. 11 the back etch is extended to the insulating

- 6 -

layer 80 which is in turn etched to free the structure for motion as described above and below.

Fig. 12 illustrates a further embodiment of the invention in which three "J" shaped arms 100, completing
5 nearly a 180 degree curvature and angled at 120 degrees from each other, are supported from the edge 102 of a frame. The initial linear portion 104 of the arms 100 is plated to function as morphs or benders. A
10 computation system 106 drives the morphs and accomplishes any coordinate transformations to adjust orthogonal drive signals to the 120 degree angles. A mirror 110 is formed in the center as discussed before.

Stress relief structures 112 are formed of silicon between the ends of the arms 100 and the mirror 108 to
15 accommodate a difference in slope between the sides of the arms 100 at the juncture with the mirror due to the substantial curving of the arms 100 at the end and the 120 degree arm placement. Similar stress relief structures may be added to the other designs. The
20 stress relief structures comprise a widening of the arms with the centers etched out leaving only outer bands for the attachment over a few degrees of curvature.

Of particular advantage to such a structure is the fact that if the morphs or benders on the arm portions
25 104 are electrically driven to bend in the same direction an identical amount, or nearly so, the mirror 110 is given a bending moment at its edges where the arms attach. This results in the mirror 110 being bent slightly in a convex or concave shape which has

- 7 -

usefulness in providing focussing or defocussing effects on light beams reflected thereby.

- 8 -

CLAIMS

1. A micromechanical system of one or more platforms and plural supports wherein at least one said platform
5 is adapted for having a reflective surface, said system comprising:

a frame holding one or more of said plural supports at respective ends thereof distant from a corresponding platform;

10 morph drivers coextensive and associated with a portion only of respective ones of a plurality of said plural supports, other portions of said plural supports being angled to the portion to which said morphs are coextensive;

15

2. The system of claim 1 wherein:

a first plurality of said supports extend from said frame to a first platform and a second plurality of said supports extend from said frame to a second platform,
20 said first and second pluralities of supports having morph drivers associated therewith;

20

a third plurality of said supports extending from said first and second platforms to a third platform, said third platform being adapted for a reflective
25 surface.

25

3. The system of claim 1 wherein said supports are "J" shaped.

- 9 -

4. The system of claim 3 wherein said supports number three in total, oriented at 120 degrees from each other.

5. The system of claim 1 wherein a strain relieving configuration is provided to connect said supports and said platforms.

6. The system of claim 1 further including electrical connections to said morphs from terminals on said frame configured to provide bending of said supports in different directions in response to a signal applied to said terminals.

7. The system of claim 1 wherein said supports comprise multiple arms selected from the group of: arms with morphs of a selected length and arms without morphs of a selected length.

8. The system of claim 1 wherein said supports and said platforms are of silicon.

9. The system of claim 7 wherein said supports and platforms are etch released from said frame.

10. The system of claim 1 wherein said morphs are selected from the group consisting of monomorphs and bimorphs.

11. The system of claim 1 wherein said morphs are piezoelectric elements applied to said supports.

- 10 -

12. A method for controlling the pointing angle of a light reflecting element comprising the steps of:

providing a system as claimed in claim 1:

5 applying signals to morphs of said system from a processor to produce angulation of said light reflecting element.

10 13. The method of claim 12 wherein said processor provides a coordinate transformation.

14. The method of claim 12 wherein said light reflecting element includes a mirror.

15 15. A method of forming a scanning system including the steps of forming a micromechanical system of claim 1 from silicon.

20 16. The method of claim 15 further including the step of forming said morphs as layered piezoelectric elements on silicon supports between said frame and said reflecting element.

25 17. The system of claim 4 wherein one or more said platform takes on a convex or concave shape under the influence of a similar bending of said morphs.

30 18. A method for adjusting the focusing effect of a reflective surface as claimed in claim 4 comprising the step of:

- 11 -

causing said morphs to bend in substantially the same direction and magnitude to apply a bending moment to edges of said reflective element causing a bending thereof in a convex or concave shape.

1/7

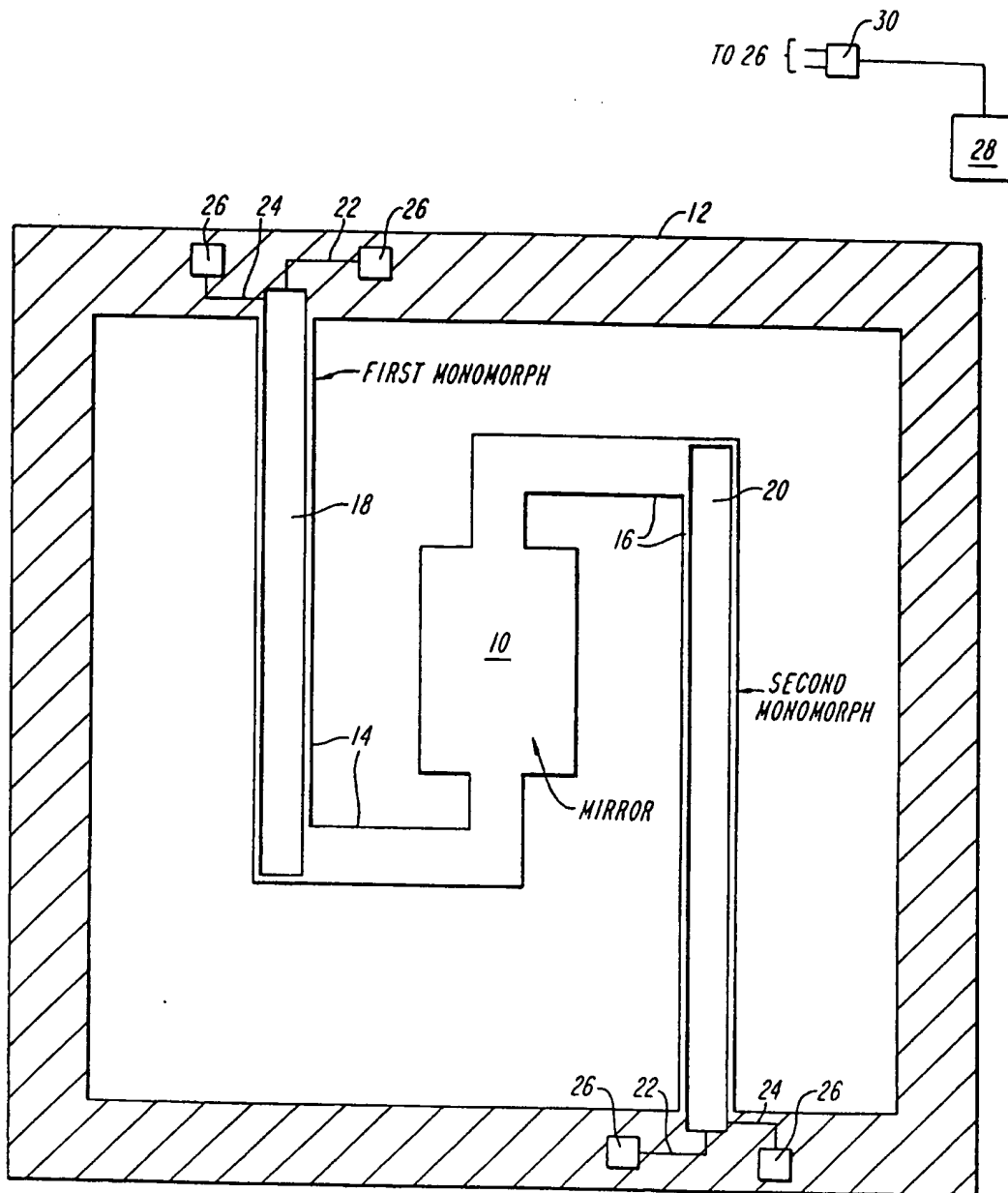


FIG. 1

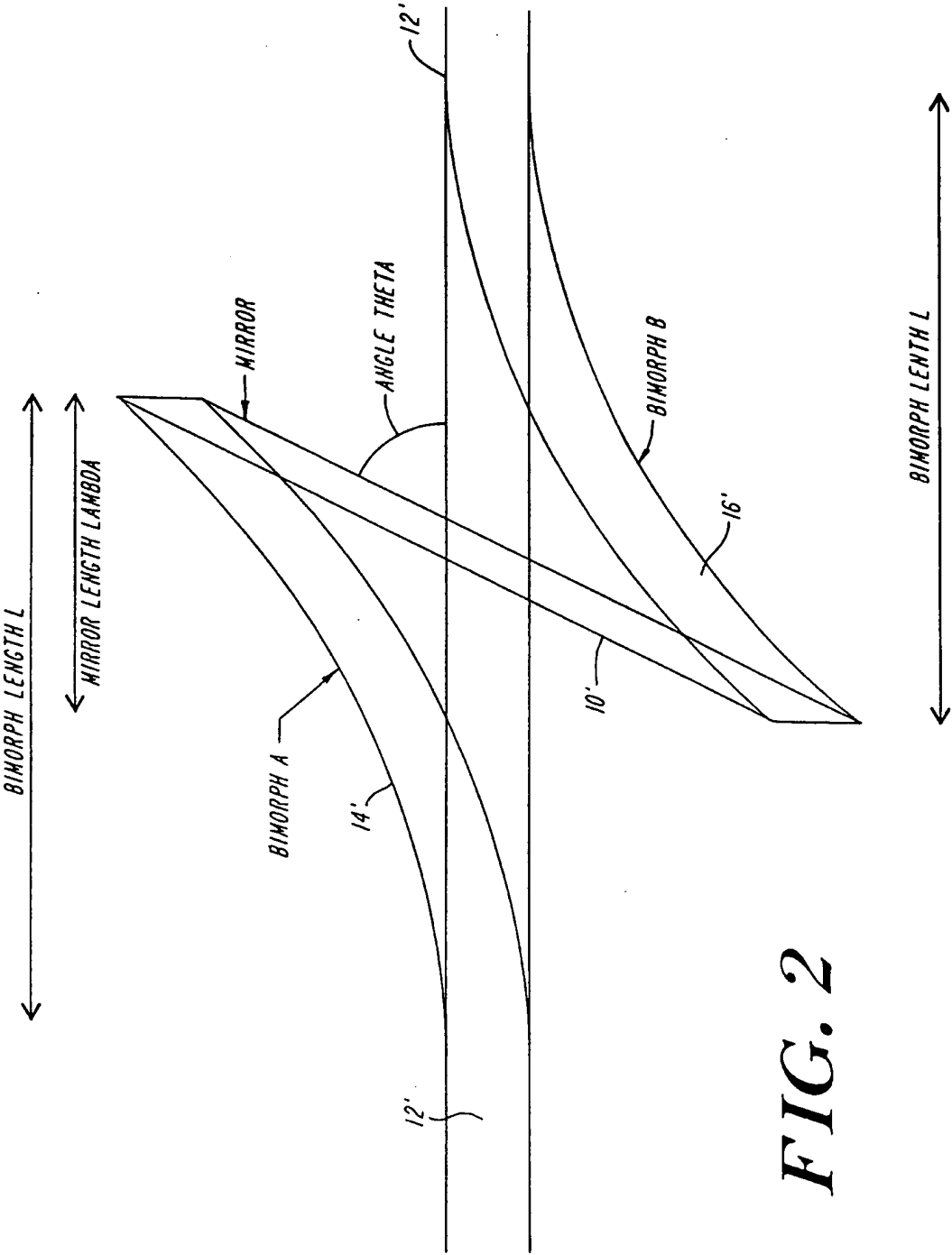
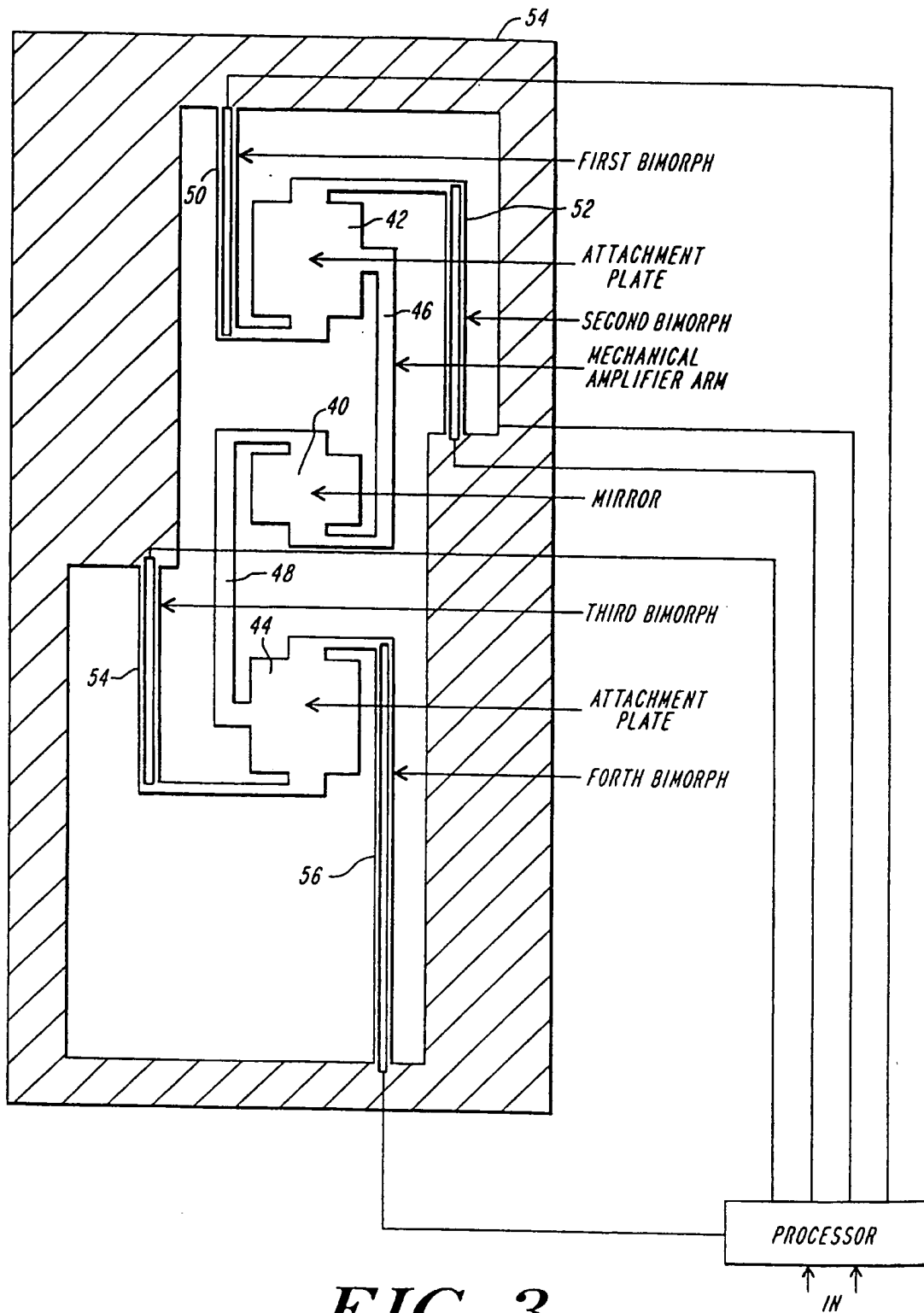


FIG. 2

3 / 7



4/7

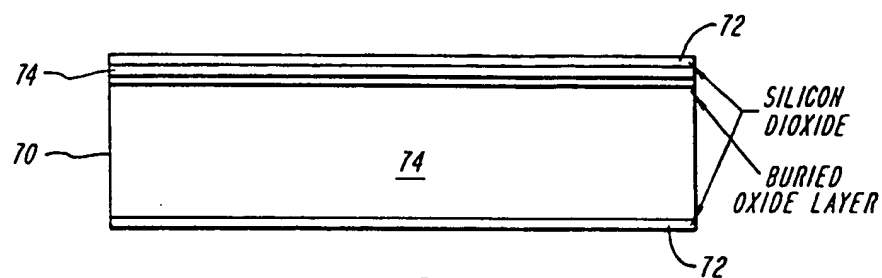


FIG. 4

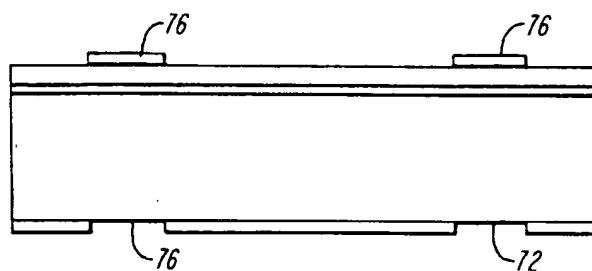


FIG. 5

5/7

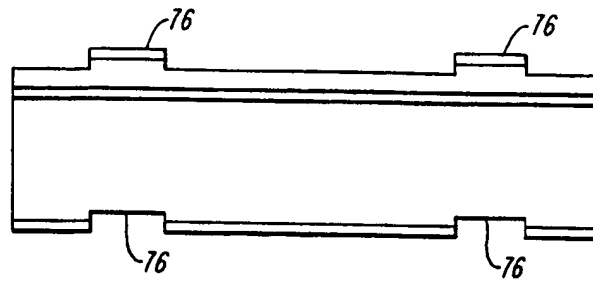


FIG. 6

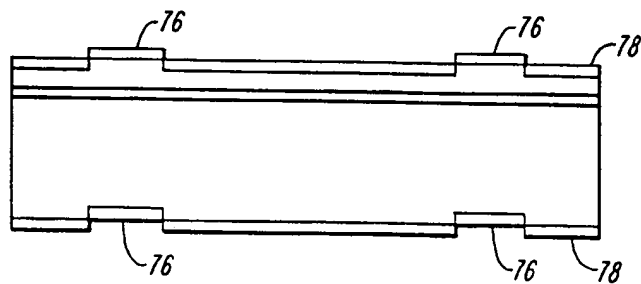


FIG. 7

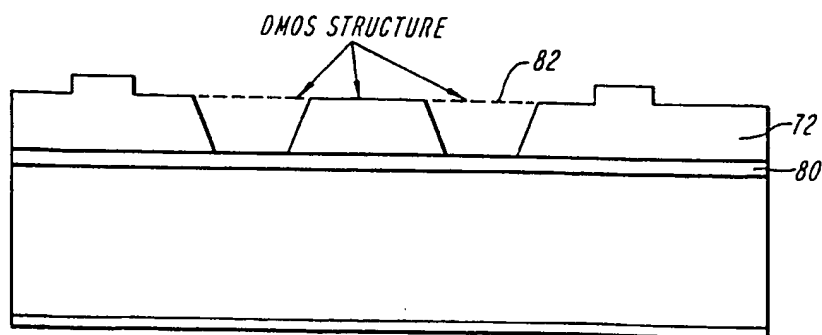


FIG. 8

6/7

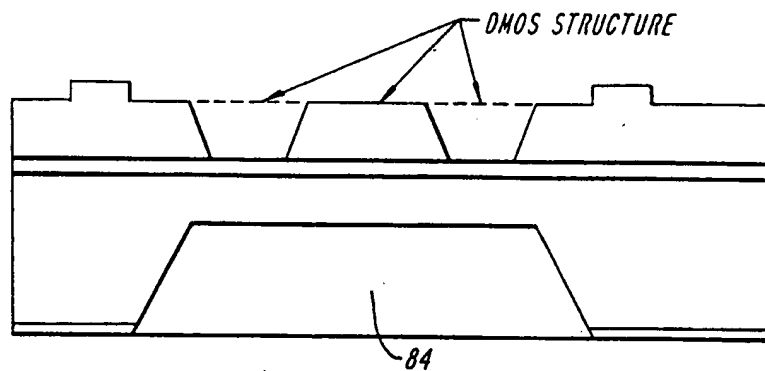


FIG. 9

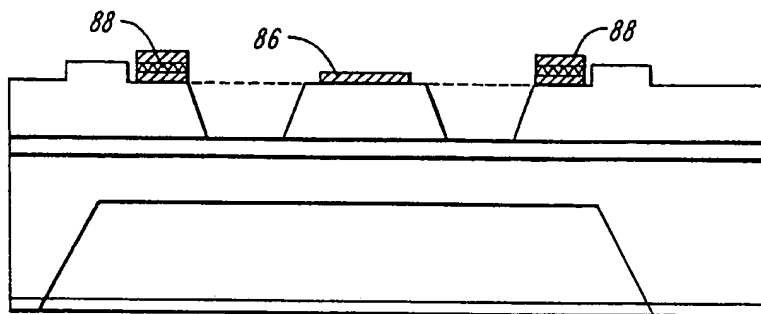


FIG. 10

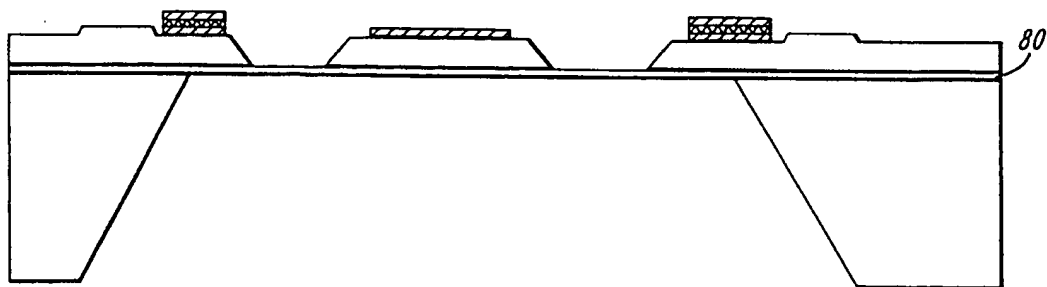


FIG. 11

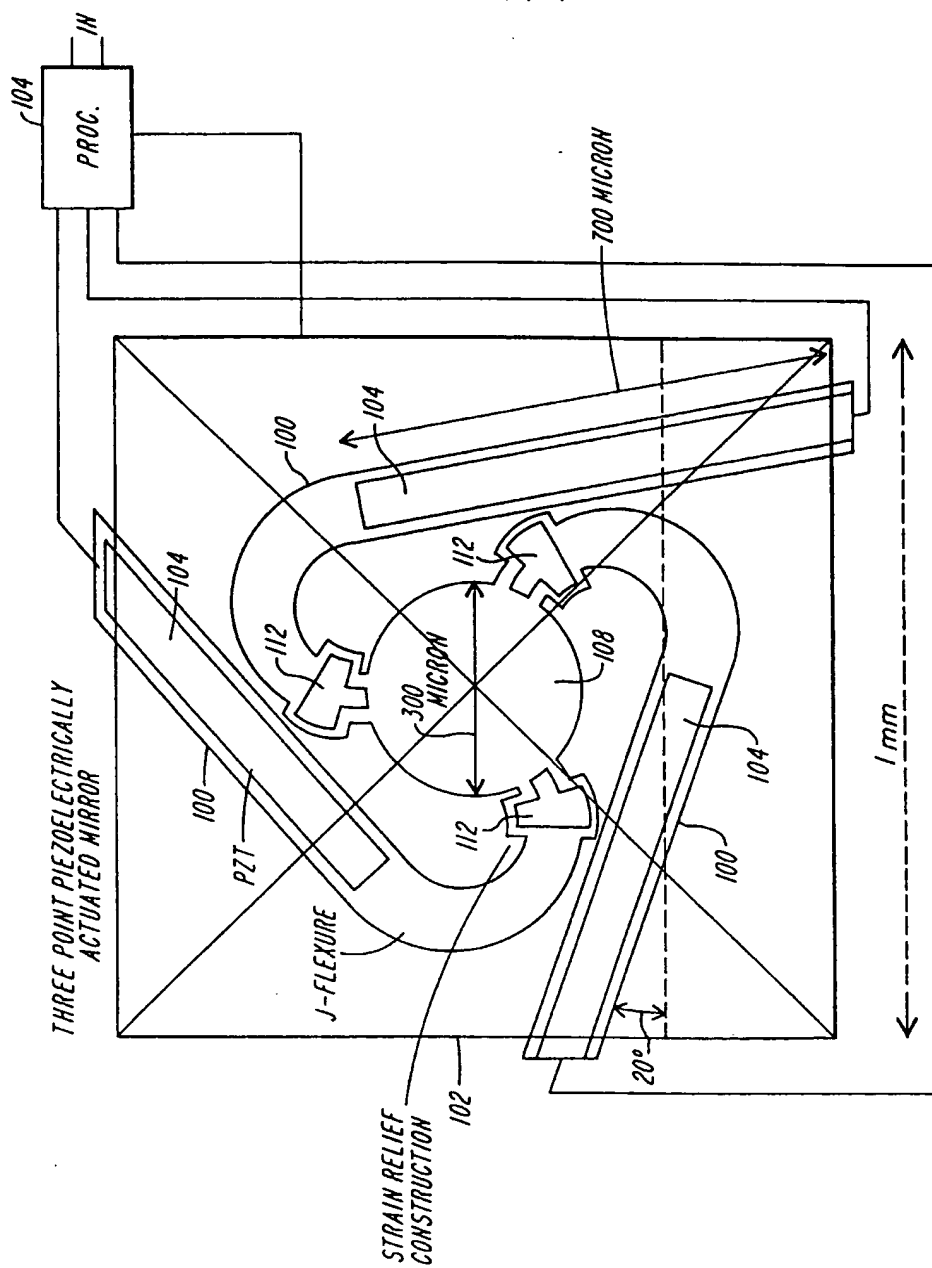


FIG. 12

INTERNATIONAL SEARCH REPORT

International application No.
PCT/US00/07075**A. CLASSIFICATION OF SUBJECT MATTER**

IPC(7) : G02B 7/182

US CL : 359/872

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 359/872, 224, 849, 871, 875, 883; 310/311, 330, 331, 332, 335, 370

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched
NONEElectronic data base consulted during the international search (name of data base and, where practicable, search terms used)
NONE**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X --- A	US 5,245,464 A (JENSEN) 14 September 1993 (14-09-1993), see figs. 9, 14, 25 and 26.	1, 3-16 ----- 2, 17-18

☐ Further documents are listed in the continuation of Box C.☐ See patent family annex.

* Special categories of cited documents:	"I" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
"A" document defining the general state of the art which is not considered to be of particular relevance	"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
"E" earlier document published on or after the international filing date	"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	"&" document member of the same patent family
"O" document referring to an oral disclosure, use, exhibition or other means	
"P" document published prior to the international filing date but later than the priority date claimed	

Date of the actual completion of the international search

17 MAY 2000

Date of mailing of the international search report

13 JUN 2000

Name and mailing address of the ISA/US
Commissioner of Patents and Trademarks
Box PCT
Washington, D.C. 20231

Facsimile No. (703) 305-3230

Authorized officer

MARK ROBINSON

Telephone No. (703) 305-3506